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Averages by integration

$$\langle A \rangle = \int dr^N A(r^N) P(r^N)$$

$$P(r^N) = \frac{e^{-\beta U(r^N)}}{Z_N} \quad \begin{array}{l} \nwarrow \text{probability density} \\ \swarrow \text{configurational P.F.} \end{array}$$

Brute force:

$$\langle A \rangle = \frac{\sum_{i=1}^{N_{\text{trial}}} A(r_i^N) e^{-\beta U(r_i^N)}}{\sum_{i=1}^{N_{\text{trial}}} e^{-\beta U(r_i^N)}}$$

each trial corresponds to randomly choosing all $3N$ coordinates of all N atoms each time.

Many of these trials will be "unimportant" parts of space, i.e. where $e^{-\beta U(r_i^N)} \approx 0$



↗ overlapping atoms



↗ unrealistic bond lengths

Sampling will be inefficient. How to fix this?

Metropolis's MC:

Suppose we have a simple 2 level system:



Further, suppose we know that $e^{-\beta E_0} \approx 2 e^{-\beta E_1}$
(Energies are such that ground state is twice as likely as the excited state.)

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So:
$$P_0 = \frac{e^{-\beta E_0}}{e^{-\beta E_0} + e^{-\beta E_1}} = \frac{2e^{-\beta E_1}}{2e^{-\beta E_1} + e^{-\beta E_1}} = \frac{2}{3}$$

$$P_1 = \frac{e^{-\beta E_1}}{e^{-\beta E_0} + e^{-\beta E_1}} = \frac{e^{-\beta E_1}}{2e^{-\beta E_1} + e^{-\beta E_1}} = \frac{1}{3}$$

How can we pick states so we visit state 0 twice as often as state 1?

We use a ~~transition~~ matrix Π

$$\begin{pmatrix} P_0(2) \\ P_1(2) \end{pmatrix} = \Pi \begin{pmatrix} P_0(1) \\ P_1(1) \end{pmatrix} \quad \leftarrow \text{probabilities in 1st step}$$

$\nwarrow$ probabilities after second step

$$\begin{pmatrix} P_0(3) \\ P_1(3) \end{pmatrix} = \Pi \begin{pmatrix} P_0(2) \\ P_1(2) \end{pmatrix} \quad \leftarrow$$

$\nwarrow$ probabilities after 3rd step.

$$\therefore \begin{pmatrix} P_0(n) \\ P_1(n) \end{pmatrix} = \Pi^{n-1} \begin{pmatrix} P_0(1) \\ P_1(1) \end{pmatrix}$$

Π is this very special case is a 2×2 matrix:

$$\Pi = \begin{pmatrix} \frac{1}{2} & 1 \\ \frac{1}{2} & 0 \end{pmatrix}$$

let's try an experiment:

Start our system in GS: $\begin{pmatrix} P_0(1) \\ P_1(1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\underline{P}(2) = \begin{pmatrix} \frac{1}{2} & 1 \\ \frac{1}{2} & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

$$\underline{P}(4) = \begin{pmatrix} \frac{1}{2} & 1 \\ \frac{1}{2} & 0 \end{pmatrix} \begin{pmatrix} \frac{3}{4} \\ \frac{1}{4} \end{pmatrix} = \begin{pmatrix} 0.625 \\ 0.375 \end{pmatrix}$$

$$\underline{P}(3) = \begin{pmatrix} \frac{1}{2} & 1 \\ \frac{1}{2} & 0 \end{pmatrix} \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} = \begin{pmatrix} \frac{3}{4} \\ \frac{1}{4} \end{pmatrix}$$

$$\underline{P}(\infty) = \begin{pmatrix} \frac{2}{3} \\ \frac{1}{3} \end{pmatrix}$$

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Suppose we had started the system in state 1:

$$P_0(1) = 0 \quad P_1(1) = 1$$

$$\underline{P}(2) = \begin{pmatrix} 1/2 & 1 \\ 1/2 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

⋮

$$\underline{P}(\infty) = \begin{pmatrix} 2/3 \\ 1/3 \end{pmatrix}$$

What is special about $\underline{\Pi}$?

$$\underline{P}(\infty) = \underline{\Pi} \underline{P}(\infty)$$

↑ large n limit

$$\begin{pmatrix} 2/3 \\ 1/3 \end{pmatrix} = \begin{pmatrix} 1/2 & 1 \\ 1/2 & 0 \end{pmatrix} \begin{pmatrix} 2/3 \\ 1/3 \end{pmatrix} = \begin{pmatrix} 2/3 \\ 1/3 \end{pmatrix} \checkmark$$

∴

$$\sum_i P_i(\infty) \Pi_{ij} = P_j(\infty)$$

↗ In an ensemble at equilibrium, the transition matrix must return the equilibrium probability for state j from the equilibrium probability of the other states.

We can try to extract the weights or the equilibrium population ~~the~~ information from the purely random part:

$$\Pi_{mn} = \alpha_{mn} P_{mn}$$

↗ transition matrix

↗ α_{mn} purely random symmetric "stochastic" matrix (info about how states were chosen)

↗ P_{mn} probability of going from state m to n (contains eqm. info)
 P_m & P_n

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The Metropolis rules:

1) $\pi_{mn} = \alpha_{mn}$ if $p_n \geq p_m$

2) $\pi_{mn} = \alpha_{mn} \left(\frac{p_n}{p_m} \right)$ if $p_n < p_m$

3) $\pi_{mm} = 1 - \sum_{n \neq m} \pi_{mn}$

1) if we picked (randomly) a state n which is more probable than original state m , then transition prob is just the prob of picking state in the 1st place, keep the new state!

2) if we picked (randomly) a state n which is less probable than the original, then we might keep the new state. The prob of keeping it depends on the relative probs. of the 2 states.

3) if we happen to pick the same state ^(at random) we're already in, we have to figure out how likely it is relative to all other states. In practice, this rarely happens:

How we actually do it:

i. Make particles move

2. Did energy go down? If so sample & keep

3. Did energy go up? If so,

a. pick random on $[0, 1]$

b. Is this number smaller than

$\frac{e^{-\beta E_{\text{new}}}}{e^{-\beta E_{\text{old}}}} ?$

i. Yes, sample & accept move

ii. No, sample old again & reject move

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Some subtlety in step 1,

if we make big moves, we will probably reject most of them. This is inefficient sampling. Efficient sampling has

$$P_{\text{accept}} \approx 0.5$$

Make moves:

$$x_{\text{new}} = x_{\text{old}} + (2z - 1) \delta_{\text{max}}$$

random on $[0, 1]$

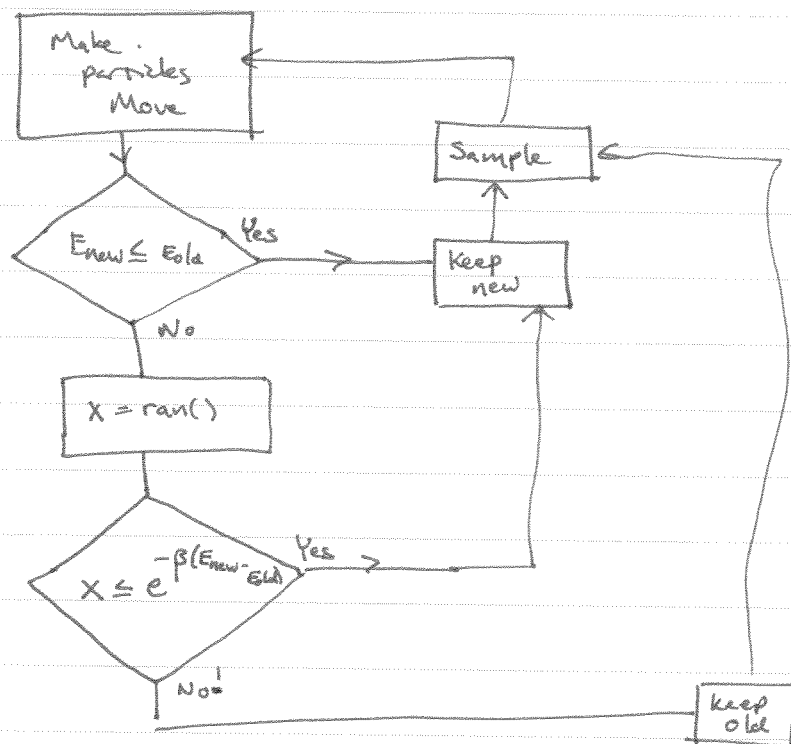
max motion abn
1 direction

y
 $\vdots$

If P_{acc} is too small after a few thousand steps, then adjust $\delta_{\text{max}} \downarrow$

If P_{acc} is too large after a few thousand steps, then adjust $\delta_{\text{max}} \uparrow$

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Some subtlety: If we make big moves, we'll probably reject most of them. This is inefficient sampling. Efficient sampling has $P_{\text{accept}} \approx 0.5$

Make Moves: $x_{\text{new}} = x_{\text{old}} + (z_f - 1) \Delta r_{\text{max}}$ ← max motion along 1 direction

↑
random on [0,1]

If Pace is too small after 1000 steps, then adjust $\delta r_{\max} \downarrow$

If Pace is too large after 1000 steps, then adjust σ_{max} $\uparrow$

RNGs :

$$\xi[1] = \text{seed}$$

$$\begin{aligned} \{[1] &= \text{seed} \\ \{[i] &= \text{~~seed~~} (A * \{[i-1] + B) \bmod M \end{aligned}$$

modulo arithmetic! $\leftarrow$ gives remainder

$$10 \bmod 4 = 2$$

$$11 \bmod 4 = 3$$

$$12 \bmod 4 = 0$$

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Linear congruential RNGs:

$$x_n = (a x_{n-1} + b) \bmod m \quad (m \text{ is prime})$$

RANDU

$$a = 65539$$

$$b = 0$$

$$m = 2^{31} = 2,147,483,648$$

$$a = 1664525$$

$$b = 1013904223$$

$$m = 2^{32} = 4,294,967,296$$

x are integers from $0 \rightarrow 2^{32}$
to get reals:

$$\frac{x_n}{m} = [0, 1]$$

repeats, sometimes poor choices of a & b can give correlated
answers

What is a good RNG?

• uniform on $[0, 1]$

• uncorrelated

• reproducible

single seed



Other RNGs

Multiple Recursive: $x_n = (a_1 x_{n-1} + a_2 x_{n-2} + \dots + a_k x_{n-k}) \bmod p$
period $2^k - 1$

Blum Blum Shub

$$x_n = (x_{n-1})^2 \bmod m$$

$m = pq$ ← 2 large primes $\begin{pmatrix} p=11 \\ q=11 \end{pmatrix}$

Mersenne Twister:

$$\text{period} = 2^{19937} - 1$$

(Matsumoto & Nishimura 1998)

Generating Gaussian-Distributed Random As

Box-Muller method (1958)

1. generate 2 random vars r, a on $[0, 1]$

$$X = (-2 \ln r)^{1/2} \cos(2\pi a)$$

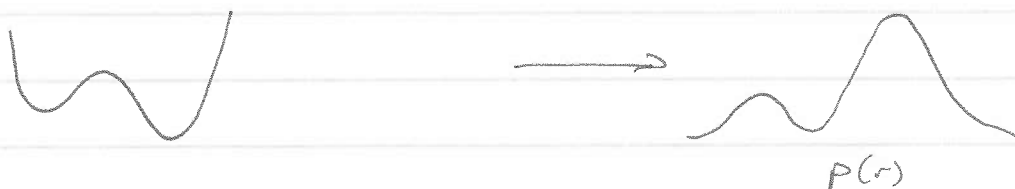
$$Y = (-2 \ln r)^{1/2} \sin(2\pi a)$$

↑ these are gaussian distributed (but not independent)
so we use only 1 & start over.

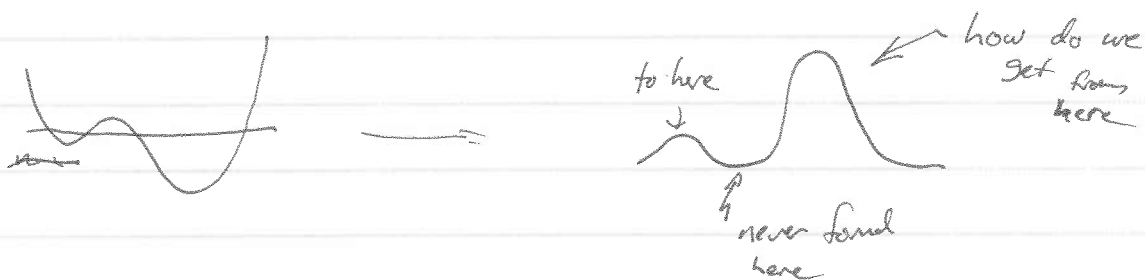
Useful for generating velocities from maxwell boltzmann distribution.

Other subtleties of MC:

$$P(r) \propto e^{-\beta u(r)}$$



MC uses a temperature $\beta = \frac{1}{k_B T}$ to decide on likelihood of uphill moves:



Many methods:

Multiple walkers (good for 1D, hard in higherD)
Simulated annealing

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jump walking
replizal exchange mc



multiple simultaneous
walkers

Classical Fluids $\sigma \rightarrow$ length $\epsilon \rightarrow$ energy $m \rightarrow$ mass (also time)

$$U(r^N) = \sum_{i=1}^{N-1} \sum_{j=i+1}^N U_{ij}(r_{ij})$$

$$U_{ij} = 4\epsilon \left[\left(\frac{\sigma}{r_{ij}} \right)^{12} - \left(\frac{\sigma}{r_{ij}} \right)^6 \right]$$

MC works great for structural properties, but what about

Time dependent quantities

(diffusion, viscosity, thermal conductivity)

Start here:

$$F = ma$$

$$m \ddot{\vec{r}}_i = \vec{F}_i = \text{force acting on particle } i$$

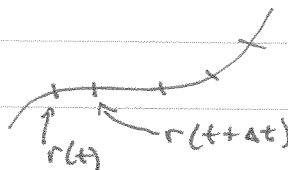
$$= - \frac{\partial}{\partial \vec{r}_i} U(r^N) = - \sum_{j \neq i} \left(\frac{\vec{r}_{ij}}{|\vec{r}_{ij}|} \right) \frac{dU_{ij}(r_{ij})}{dr_{ij}}$$

$$\text{where } \vec{r}_{ij} = \vec{r}_i - \vec{r}_j$$

Newton's equation is a second order coupled differential equation for $3N$ variables: $x_1(t), y_1(t), z_1(t), \dots, x_N(t), y_N(t), z_N(t)$

$$m \ddot{\vec{r}}(t) = \vec{F}[\vec{r}(t)]$$

To integrate this we imagine a path or trajectory that is locally parabolic in time:



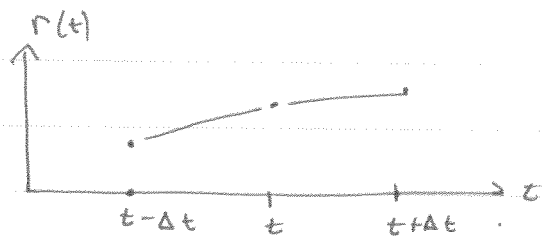
$$\vec{r}(t+\Delta t) = \vec{r}(t) + \Delta t \vec{V}(t) + \frac{1}{2} \frac{\Delta t^2}{m} \vec{F}[\vec{r}(t)]$$

where

$$\vec{V}(t) = \dot{\vec{r}}(t) \text{ is the velocity}$$

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We can approximate $v(t)$:



Slope of 1st segment

$$v_1 \approx \frac{r(t) - r(t - \Delta t)}{\Delta t}$$

Slope of 2nd segment

$$v_2 \approx \frac{r(t + \Delta t) - r(t)}{\Delta t}$$

Average slope:

$$v(t) \approx \frac{v_1 + v_2}{2} = \frac{r(t + \Delta t) - r(t - \Delta t)}{2\Delta t}$$

$\therefore$ Putting this back in our harmonic expansion:

$$r(t + \Delta t) = r(t) + \Delta t \times \frac{r(t + \Delta t) - r(t - \Delta t)}{2\Delta t} + \frac{\Delta t^2}{2m} F[r(t)]$$

$$r(t + \Delta t) = 2r(t) - r(t - \Delta t) + \frac{\Delta t^2}{2m} F[r(t)]$$

Verlet's Leap Frog algorithm, given r at 2 different times and the forces at time t , we can predict the future positions at time $t + \Delta t$

For a LJ fluid:

$$\vec{r}_i^*(t + \Delta t) = 2\vec{r}_i^*(t) - \vec{r}_i^*(t - \Delta t) - (\Delta t)^2 \left(\frac{48\epsilon}{m\sigma^2} \right) \sum_{j \neq i} \frac{\vec{r}_{ij}^*}{|\vec{r}_{ij}^*|^4} \left[\left(\frac{1}{|\vec{r}_{ij}^*|} \right)^{13} - \frac{1}{2} \left(\frac{1}{|\vec{r}_{ij}^*|} \right)^7 \right]$$

where $\vec{r}_i^* = \frac{\vec{r}_i}{\sigma}$

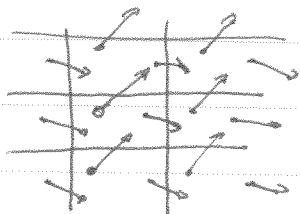
the natural (reduced) unit of time is therefore $\tau = \left(\frac{m\sigma^2}{48\epsilon} \right)^{1/2}$

For argon, $\frac{\epsilon}{k_B} = 119.8$, $\sigma = 3.405 \text{ \AA}$, $\tau \approx 10^{-13} \text{ sec} \approx 0.1 \text{ psec}$.

The errors in verlet are higher order than $(\Delta t)^2$ and can be made small by setting Δt small. For LJ fluids $\Delta t \approx 0.03 \tau$ (i.e. 3 fs) is usually sufficient.

Boundary Conditions

We usually imagine a bulk fluid by simulating a portion of an infinitely replicated system:



This is a pretty good model for a bulk system.

No fluctuations larger than the box length can be observed.

Initial conditions:

By equipartition, the total kinetic energy:

$$K = \sum_{i=1}^N \frac{1}{2} m \vec{v}_i^2 = \sum_{i=1}^N \frac{1}{2} m_i (v_{ix}^2 + v_{iy}^2 + v_{iz}^2)$$

$\sum 3N$ "squared" terms

$$\langle K \rangle = \frac{1}{2} k_B T * 3N$$

$$\langle K \rangle = \frac{3}{2} N k_B T$$

If all velocities are ~~are~~ identically distributed:

$$3N \langle \frac{1}{2} m_i v_{ix}^2 \rangle = \frac{3N}{2} k_B T$$

$$m_i \langle v_{ix}^2 \rangle = k_B T$$

$$\langle v_{ix}^2 \rangle = \frac{k_B T}{m_i}$$

$$\sigma^2 = \frac{k_B T}{m_i}$$

$$\Rightarrow p(v_x) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{v_x^2}{2\sigma^2}}$$

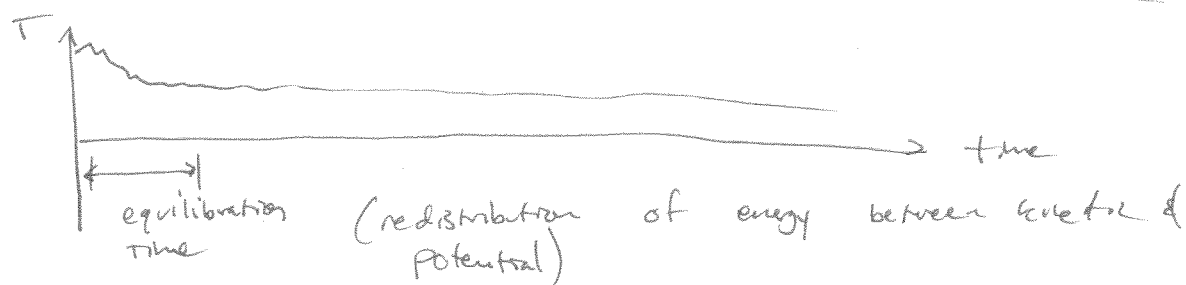
$$p(v_x) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{v_x^2}{2\sigma^2}}$$

$$p(v_x) = \frac{1}{\sqrt{2\pi k_B T}} e^{-\frac{m_i v_{xi}^2}{2k_B T}}$$

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So we can pick initial velocities from a gaussian distribution corresponding to a particular temperature T

If we start the atoms in a regular (FCC) lattice and pick some velocities, do you expect the T to go up or down in time? Why?



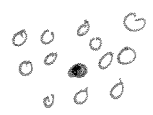
Dynamics after equilibration:

By the ergodic hypothesis:

$$\langle G \rangle = \frac{1}{\tau} \int_0^{\tau} dt G[r^N(t), v^N(t)]$$


initial lattice

time $\rightarrow$


disordered

If you focus on a single tagged particle over time, its path would be highly irregular



What do you think this looks like in a solid? in a gas?

Correlations & Time dependence

$$C_{AB}(t) = \langle A(0) B(t) \rangle = \frac{1}{T-t} \int_0^{T-t} A(t') B(t'+t) dt'$$

↑ total run time

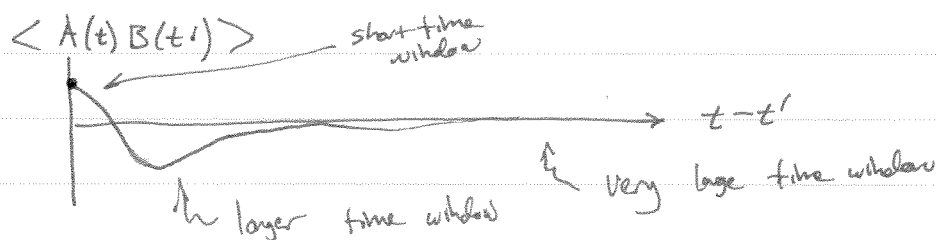
$A(t)$ & $B(t)$ are two (possibly different) variables

$$A(t) = A[r^N(t), p^N(t)]$$

these evolve in time
this property evolves in time



in this picture, A & B are highly correlated when the time window is small (short time), but are anti-correlated at later times (longer time windows).



At equilibrium:

$$\langle A(t_1) B(t_2) \rangle = \langle A(0) B(t) \rangle \quad \text{where } t = t_2 - t_1$$

that is, there's nothing special about any particular start time when we're at equilibrium, delay between observations is all that matters.

And:

$$\langle A(0) B(t) \rangle = \langle A \rangle \langle B \rangle \quad \text{when } t \rightarrow \infty$$

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That is, loss of correlation & relaxation are identical concepts.

$$C_{AB}(t) = \langle A(0) B(t) \rangle = A-B \text{ cross correlation function}$$

$$C_{AA}(t) = \langle A(0) A(t) \rangle = A \text{ auto-correlation function}$$

$$\langle \vec{v}(0) \vec{v}(t) \rangle = \text{velocity auto correlation function}$$

$$\langle \vec{\mu}(0) \cdot \vec{\mu}(t) \rangle = \text{dipole auto correlation function}$$

↗ related to spectroscopy

$$\langle \vec{F}(0) \cdot \vec{F}(t) \rangle = \text{force auto correlation function}$$

$$\langle \vec{F}(0) \cdot \vec{v}(t) \rangle = \text{force-velocity cross correlation}$$

(related to hydrodynamic friction)

One very special auto-correlation:

$$R^2(t) = \text{mean squared displacement}$$

$$= \langle \|\vec{r}_i(t) - \vec{r}_i(0)\|^2 \rangle = \langle (\vec{r}_i(t) - \vec{r}_i(0)) \cdot (\vec{r}_i(t) - \vec{r}_i(0)) \rangle$$

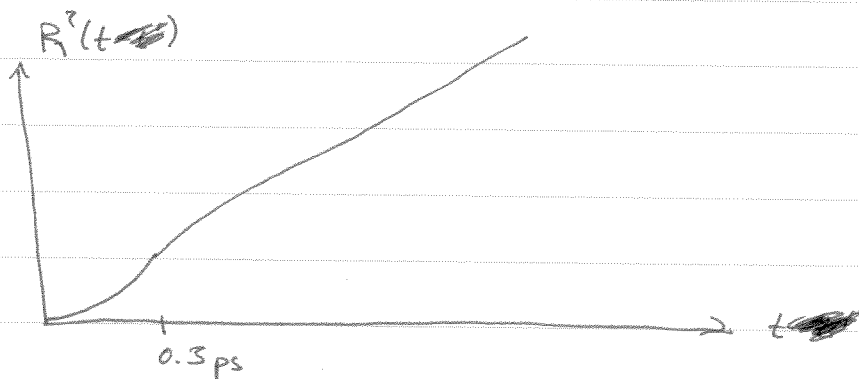
↗ range ↗ separation from initial position in time t

=

$$\langle r_i^2(t) \rangle - 2\langle \vec{r}_i(t) \cdot \vec{r}_i(0) \rangle + \langle \vec{r}_i^2(0) \rangle$$

↗ explicit auto correlation

Here's what it looks like:



After a short (parabolic) transient, $R^2(t)$ becomes linear in time

↗ ballistic (straight line motion)

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Slope of linear region = $6D$ where D is the self-diffusion constant:

$$D = \lim_{t \rightarrow \infty} \frac{1}{6t} \langle |\vec{r}(t) - \vec{r}(0)|^2 \rangle$$

← Einstein's relation for macroscopic diffusion in terms of microscopic correlations.

For LJ argon, $D \approx 10^{-5} \text{ cm}^2/\text{s}$

How long will it take an argon atom ($\sigma = 3.4 \text{ \AA}$) to move a distance σ in a liquid?

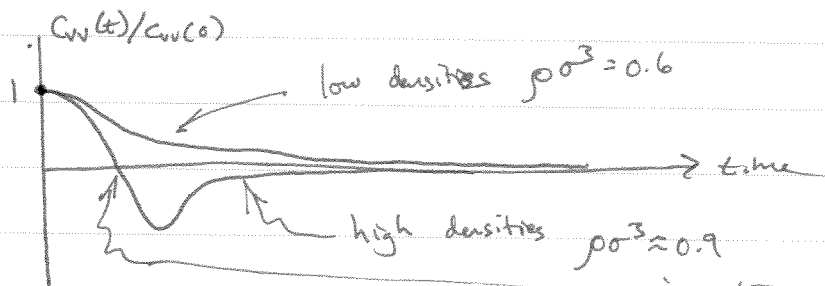
$$D = \frac{\sigma^2}{6t} \quad \text{what is } t?$$

$$t = \frac{\sigma^2}{6D} = \frac{(3.4 \times 10^{-8} \text{ cm})^2 \text{ sec}}{6 \times 10^{-5} \text{ cm}^2} \approx 10 \text{ psec}$$

Velocity Auto correlations:

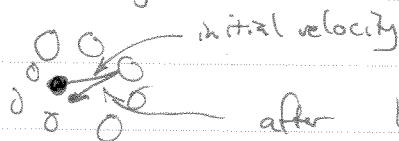
$$C_v(t) = \langle \vec{v}_i(t) \cdot \vec{v}_i(0) \rangle = 3 \langle v_{iz}(0) v_{iz}(t) \rangle$$

← if all 3 directions are isotropic.



in LJ-argon $\approx 0.3 \text{ ps}$!

Back scattering at high densities



after 1 collision, the velocity is pointing in the other direction!

Negative-correlation = back-scattering

De-correlation = glancing collisions

Connecting $R^2(t)$ and $C_{vv}(t)$

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$$\vec{r}_i(t) = \vec{r}_i(0) + \int_0^t \vec{v}_i(t') dt'$$

velocity is the derivative of position so if we know $\vec{v}_i(t)$, we can integrate to get the new position

$$\vec{r}_i(t) - \vec{r}_i(0) = \int_0^t dt' \vec{v}_i(t')$$

$$|\vec{r}_i(t) - \vec{r}_i(0)|^2 = \left(\int_0^t dt' \vec{v}_i(t') \right) \left(\int_0^t dt'' \vec{v}_i(t'') \right)$$

two integration times because product involves the entire integral.

$$= \int_0^t \int_0^t dt' dt'' \vec{v}_i(t') \cdot \vec{v}_i(t'')$$

$$\langle |\vec{r}_i(t) - \vec{r}_i(0)|^2 \rangle = \int_0^t \int_0^t dt' dt'' \langle \vec{v}_i(t') \cdot \vec{v}_i(t'') \rangle$$

$\uparrow$ average over particles

$\approx$ almost $C_{vv}(t)$

Some important points:

- 1) velocity correlation (at equilibrium) is a process that is independent of the origin of time:

$$\langle v(t') v(t'') \rangle = \langle v(0) v(t'' - t') \rangle$$

$$\therefore R^2(t) = \int_0^t \int_0^t dt' dt'' \langle v(0) v(t'' - t') \rangle$$

- 2) Eventually, velocity must decorrelate

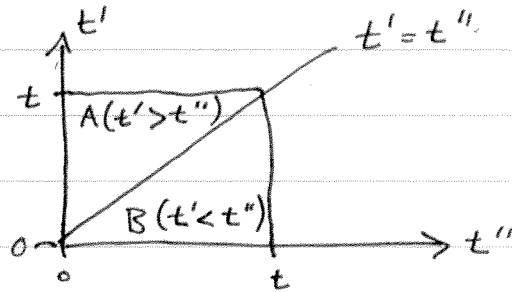
$$\lim_{t \rightarrow \infty} \langle v(0) v(t) \rangle \rightarrow 0$$

- 3) Time is reversible in classical mechanics

$$\therefore \langle v(0) \cdot v(t) \rangle = \langle v(0) \cdot v(-t) \rangle$$

$\therefore C_{vv}(t)$ is an even function of time

Here's how we'll use time reversibility:



Our double integral is over the entire square, but

$$A + B = 2B \text{ due to reversibility: } t'' - t' = -(t' - t'')$$

So the integral:

$$R^2(t) = 2 \int_0^t dt'' \int_0^{t''} dt' \langle v(0) \cdot v(t'' - t') \rangle$$

Let's substitute: $y = t'' - t'$ (with limits $(t'', 0)$)
 $x = t''$

$$R^2(t) = 2 \int_0^t dx \int_0^x dy \langle v(0) \cdot v(y) \rangle$$

Now we can integrate by Parts.

$$u = \int_0^x dy \langle v(0) \cdot v(y) \rangle \quad dv = dy$$

$$du = \langle v(0) \cdot v(y) \rangle \quad v = y$$

$$\begin{aligned} \therefore R^2(t) &= 2y \int_0^x dy \langle v(0) \cdot v(y) \rangle \Big|_0^t - 2 \int_0^t dy y \langle v(0) \cdot v(y) \rangle \\ &= 2t \int_0^t dy \langle v(0) \cdot v(y) \rangle - 2 \int_0^t dy y \langle v(0) \cdot v(y) \rangle \\ &= 2 \int_0^t dt' (t - t') \langle v(0) \cdot v(t') \rangle \end{aligned}$$

Now, since:

$$D = \lim_{t \rightarrow \infty} \frac{1}{6t} R^2(t)$$

$$= \lim_{t \rightarrow \infty} \frac{1}{3t} \int_0^t dt' (t-t') \underbrace{\langle \vec{v}(0) \cdot \vec{v}(t') \rangle}_{\text{as } t \rightarrow \infty \quad t-t' \rightarrow t}$$

$$D = \frac{1}{3} \int_0^t dt' \langle \vec{v}(0) \cdot \vec{v}(t') \rangle \quad \leftarrow \text{Green Kubo relation for diffusion}$$

$$D = \lim_{t \rightarrow \infty} \frac{1}{6t} R^2(t) \quad \leftarrow \text{Einstein relation for diffusion}$$

Other Green-Kubo transport properties:

Shear Viscosity

$$\eta = \frac{1}{Vk_B T} \int_0^\infty dt' \langle \sigma^{xy}(t) \sigma^{xy}(0) \rangle$$

$$\sigma^{xy} = \sum_{i=1}^N \left[m_i v_i^x v_i^y + \frac{1}{2} \sum_{j \neq i} x_{ij} f_j(r_{ij}) \right]$$

Thermal Conductivity

$$\lambda_T = \frac{1}{Vk_B T^2} \int_0^\infty dt' \langle q(t) \cdot q(0) \rangle$$

$$q = \frac{d}{dt} \left[\sum_{i=1}^N \frac{1}{2} m_i v_i^2 + \frac{1}{2} \sum_{i=1}^N \sum_{j \neq i} u(r_{ij}) \right]$$

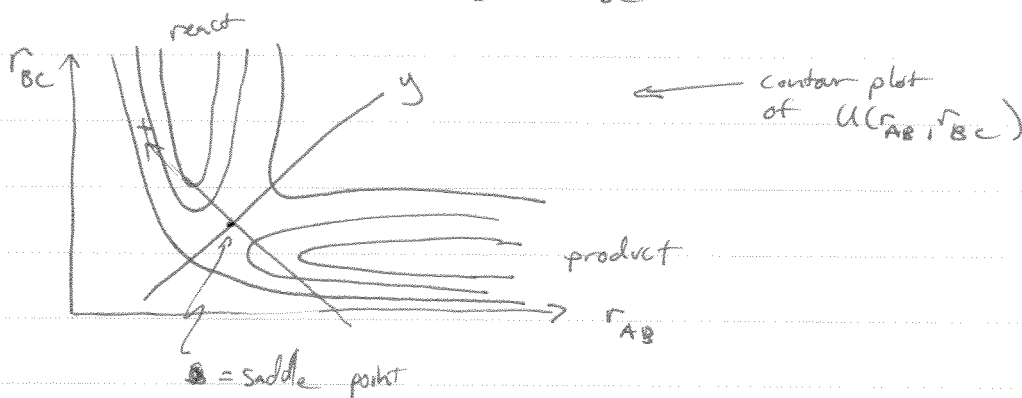
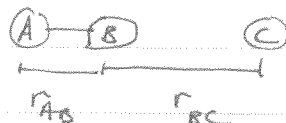
36-1

Transition state theory:

Consider a reaction:



(lying in a line:



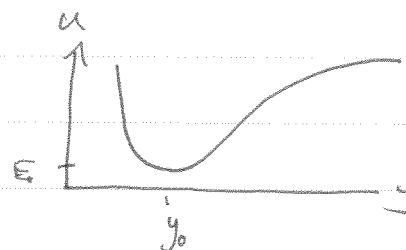
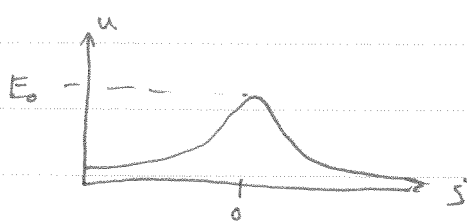
$$s = r_{BC} - r_{AB}$$

$$y = r_{BC} + r_{AB}$$

} new coordinates at saddle point.

s = reaction path

Consider potential along these 2 curves



At the saddle point,

$$\frac{\partial U}{\partial s} = 0$$

$$\frac{\partial^2 U}{\partial s^2} < 0$$

imaginary frequency at saddle

$$\frac{\partial U}{\partial y} = 0$$

$$\frac{\partial^2 U}{\partial y^2} > 0$$

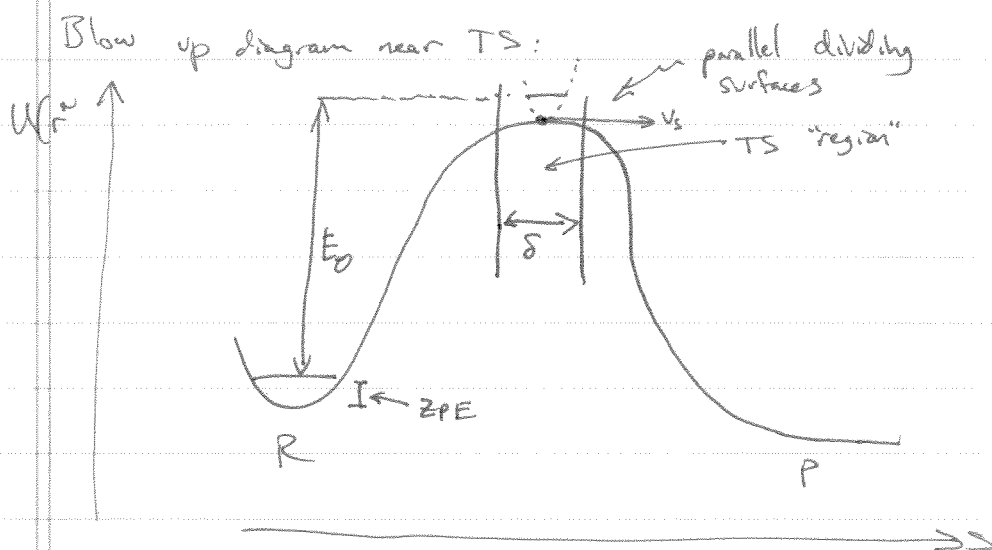
real frequency at saddle

Approximate surface ^{near} saddle =

$$U(s, y) = \frac{1}{2} G_y (y - y_0)^2 - \frac{1}{2} G_s s^2 + E_0$$

Basic tenets of TST

- 1) molecules that have crossed the TS in the direction of products can't turn around & form reactants
- 2) At the TS, motion along reaction coordinate is separable from other motions and is treated as a translation.
- 3) TS is in a state of quasi-equilibrium and equilibrium distributions apply. (actually follows from point 1)



Molecules moving forward out of TS region: $N_f^\ddagger$
Molecules moving backwards out of TS region: $N_b^\ddagger$

$$N^\ddagger = N_f^\ddagger + N_b^\ddagger \quad \text{and} \quad K^\ddagger = \frac{N^\ddagger}{[AB][C]} = \frac{N_f^\ddagger}{N_b^\ddagger}$$

or

$$N^\ddagger = K^\ddagger [AB][C]$$

Now, let's consider only those forward moving states while we're still at eqm with reactants:

eqm.
$$N_f^\ddagger = N_b^\ddagger = N^\ddagger / 2$$

so:
$$N_f^\ddagger = K^\ddagger [AB][C] / 2$$

← quasi-equilibrium hypothesis

Net rate of reaction in forward direction

$$\frac{dN}{dt} (r \rightarrow p) = \frac{\delta N^+}{\delta t}$$

Now if we know the average velocity $\bar{v}_s$ along RC,

$$\bar{v}_s = \frac{\delta}{\delta t} \quad \leftarrow \text{gap between dividing surfaces}$$

$$\delta t = \delta / \bar{v}_s$$

So:

$$\frac{dN}{dt} = \delta N^+ \frac{\bar{v}_s}{\delta}$$

but this is just half the total population ~~in~~ ^{in the} TS region

$$\delta N^+ = \frac{N^+}{2}$$

$\therefore$

$$\frac{dN}{dt} = \frac{N^+}{2} \frac{\bar{v}_s}{\delta}$$

$\leftarrow$ all we need is this!

$$\bar{v}_s = \frac{\int_0^{\infty} v_s e^{-(\mu_s v_s^2 / k_B T)} dv_s}{\int_0^{\infty} e^{-\mu_s v_s^2 / k_B T} dv_s}$$

$\leftarrow$ equilibrium distribution of velocities at TS

$$\mu_s = \text{reduced mass of reaction coord} = \frac{m_A m_B}{m_A + m_B}$$

we only consider forward moving TS

$$\bar{v}_s = \sqrt{\frac{2k_B T}{\pi \mu_s}}$$

$$\therefore \frac{dN}{dt} = \frac{N^+}{2} \left(\frac{2k_B T}{\pi \mu_s} \right)^{1/2} \frac{1}{\delta}$$

Now remember that:

$$K_c^+ = \frac{N^+}{[A][B][C]} = \frac{Q_{tot}^+}{Q_A Q_B Q_C} e^{-E_0 / k_B T}$$

$\leftarrow$ tot PF at TS

36-4

$$\frac{dN}{dt} = \sqrt{\frac{2kT}{\pi\mu_s}} \frac{1}{2\delta} \frac{Q_{\text{tot}}^{\ddagger}}{Q_A Q_C} e^{-E_0/kT} [AB][C]$$

$$Q_{\text{tot}}^{\ddagger} = Q_s Q^{\ddagger} = Q^{\ddagger} \sqrt{\frac{e\pi\mu_s kT}{h}} \delta/h$$

$\uparrow$ 1-D translational PF along s $\nwarrow$ 1-D box size

$$\frac{dN}{dt} = \frac{kT}{h} \frac{Q^{\ddagger}}{Q_A Q_C} e^{-E_0/kT} [AB][C]$$

Consider 1st order rate law $\text{rate const}^{\ddagger}$

$$\frac{dN}{dt} = k [AB][C]$$

$$k = \frac{kT}{h} \frac{Q^{\ddagger}}{Q_A Q_C} e^{-E_0/kT}$$

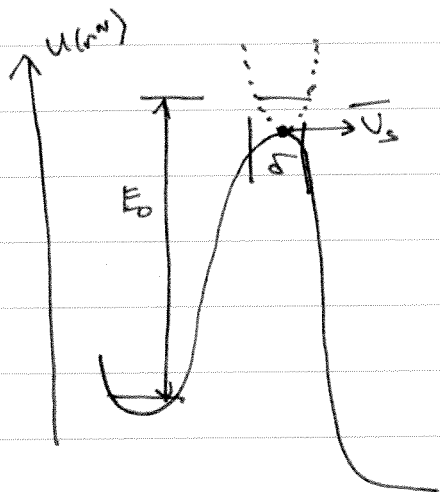
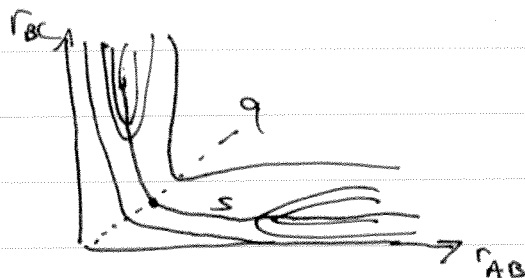
Everything artificial δ, μ_s, ν_s , factors of $\frac{1}{2}$ have cancelled!

$Q^{\ddagger}$ is the PF for the activated complex with relative motion (s) frozen.

$A \cdots B \cdots C \longrightarrow$ still has 3 translations, still has 3 rotations (but geometry is different)

has $3N-6-1$ vibrations

$\uparrow$ asymmetric stretch is now s! and has imaginary frequency



δ separates // dividing surfaces near TS

E_0 is difference in energies between GS of reactants and TS

$\bar{V}_s$ is the average forward velocity of the product-directed population near TS.

Quasi-equilibrium

$$K^\ddagger = \frac{N^\ddagger}{[AB][C]}$$

$$N^\ddagger = K^\ddagger [AB][C]$$

$$\begin{aligned} \frac{dN}{dt} &= \frac{\delta N^\ddagger}{\delta t} = \delta N^\ddagger \cdot \frac{\bar{V}_s}{\delta} \\ &= \frac{N^\ddagger}{2} \frac{\sqrt{2k_B T}}{\sqrt{\mu_s \pi}} \frac{1}{\delta} \end{aligned}$$

$$\begin{aligned} \mu_s &= \text{reduced mass of rxn coordinate} \\ &= \frac{m_{AB} \cdot m_C}{m_{AB} + m_C} \end{aligned}$$

$$\therefore \frac{dN}{dt} = \frac{K^\ddagger}{2\delta} \frac{\sqrt{2k_B T}}{\sqrt{\mu_s \pi}} [AB][C]$$

And:

$$K^\ddagger = \frac{Q_{\text{tot}}^\ddagger}{Q_{AB} Q_C} e^{-\beta E_0}$$

$\therefore$

$$\frac{dN}{dt} = \frac{Q_{\text{tot}}^\ddagger}{Q_{AB} Q_C} e^{-\beta E_0} \frac{1}{2\delta} \sqrt{\frac{2k_B T}{\mu_s \pi}} [AB][C]$$

There are still some artificial things here δ, μ_s

$$Q_{\text{tot}}^\ddagger = \underbrace{Q_s}_{\substack{\uparrow \\ \text{1d translational partition function along } s}} Q^\ddagger = Q^\ddagger \sqrt{2\pi \mu_s k_B T} \frac{\delta}{h} \quad \leftarrow \text{1-D box size!}$$

$\therefore$

$$\frac{dN}{dt} = \frac{k_B T}{h} \frac{Q^\ddagger}{Q_{AB} Q_C} e^{-E_0/k_B T} [AB][C]$$

Consider 1st order rate law

$$\frac{dN}{dt} = k [AB][C] \quad \leftarrow \text{rate constant}$$

$$k = \frac{k_B T}{h} \frac{Q^\ddagger}{Q_{AB} Q_C} e^{-E_0/k_B T}$$

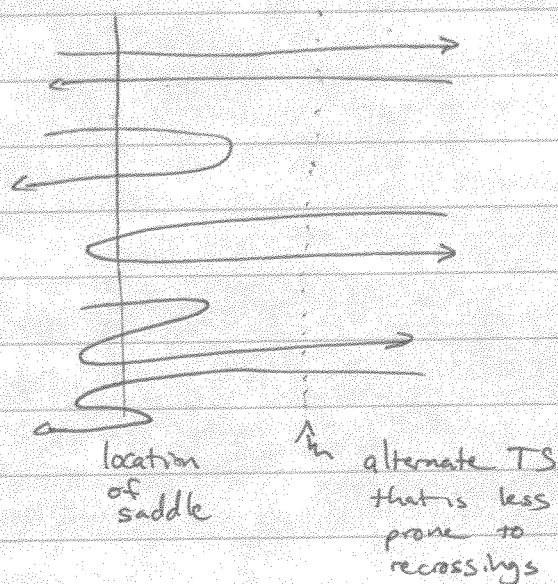
Everything artificial (δ, μ_s, ν_s , factors of $\frac{1}{2}$) have cancelled!

$Q^\ddagger$ is the P.F. for the activated complex with relative motion (s) frozen

A...B...C $\rightarrow$ still has 3 translations
 still has 3 rotations $\leftarrow$ but I is different!
 has $3N-6-1$ vibrations

37-1

Problems with TST



VTST

$$k(T; s^\ddagger) = \frac{k_B T}{h} \frac{Q^\ddagger(s^\ddagger)}{Q_{AB} Q_C} e^{-E_0(s^\ddagger)/k_B T}$$

The best TS is the one that minimizes recrossings, and hence maximizes rate.

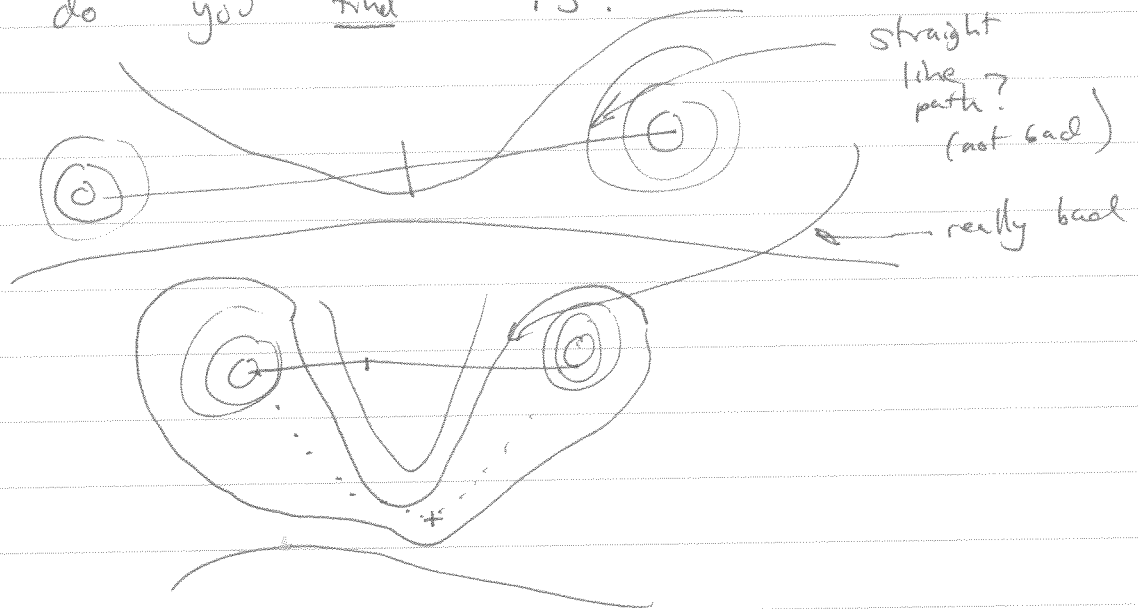
This may not be the same location as the saddle!

$$\frac{d k(T; s^\ddagger)}{d s^\ddagger} = 0$$

↗ gives an approximate upper bound on rate constant.

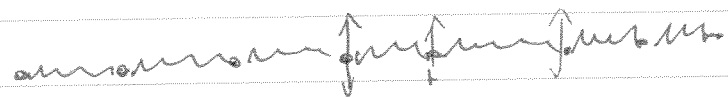
(37-2)

How do you find TS?



Methods : 1) shallowest ascent (Cerjan & Miller)
start at R or P and walk uphill along
gentlest slope at each point

2) Nudged elastic band (Hannes Jonsson)
place beads connected by springs along
straight line path



allow them to walk downhill in a
direction perpendicular to the line
connecting nearest neighbors!

What do you need at TS?

E_0

$$Q^\ddagger = Q_{\text{trans}}^\ddagger Q_{\text{vib}}^\ddagger Q_{\text{rot}}^\ddagger Q_{\text{elect}}^\ddagger$$

$$\underbrace{\left(\frac{2\pi m^\ddagger k_B T}{h^2} \right)^{3/2}}_{\text{mass}} \underbrace{\left(\prod_{i=1}^{N_{\text{vib}}} \frac{e^{-h\nu_i/2k_B T}}{1 - e^{-h\nu_i/k_B T}} \right)}_{\text{vibrational frequencies}} \underbrace{\left[\pi^{1/2} \left(\frac{8\pi^2 I_a k_B T}{h^2} \right)^{1/2} \left(\frac{8\pi^2 I_b k_B T}{h^2} \right)^{1/2} \left(\frac{8\pi^2 I_c k_B T}{h^2} \right)^{1/2} \right]}_{\text{moments of inertia from geometry of TS}}$$

$$\times \sum_i g_i e^{-E_i/k_B T}$$

$\sum$ degeneracies & energies of excited states

$S^\ddagger$: mass, vibrational freqs, geometry, degeneracies & energies of excited states

these are hardest to get!