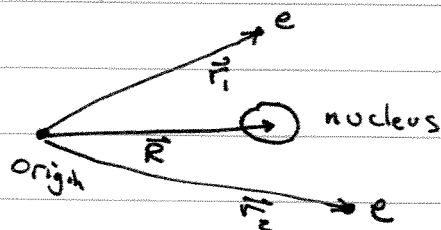


## Approximate methods:

①

Consider Helium:



To solve this problem (i.e. find the energy levels & wavefunctions) we first need to write down the Hamiltonian.

$$\hat{H} = \hat{K}E + \hat{V}$$

There are 3 particles to worry about, and each has a kinetic energy:

$$\hat{K}E = -\frac{\hbar^2}{2M} \nabla_R^2 - \frac{\hbar^2}{2m_e} \nabla_1^2 - \frac{\hbar^2}{2m_e} \nabla_2^2$$

Laplacian for nuclear coordinates

Laplacians for each set of electronic coordinates

The potential also has 3 pairwise terms:

$$\hat{V} = \frac{-2e^2}{4\pi\epsilon_0 |\vec{R} - \vec{r}_1|} - \frac{2e^2}{4\pi\epsilon_0 |\vec{R} - \vec{r}_2|} + \frac{e^2}{4\pi\epsilon_0 |\vec{r}_1 - \vec{r}_2|}$$

$$\hat{V} = V_{N-e_1} + V_{N-e_2} + V_{e_1-e_2}$$

This is a 3-body problem, which is, in general, unsolvable.

Approximation<sup>†</sup>:  $M \gg m_e$ , so we can treat the nucleus as fixed in space (at the origin). This is usually called the Born-Oppenheimer Approximation

$$\hat{H} = -\frac{\hbar^2}{2m_e} (\nabla_1^2 + \nabla_2^2) - \frac{2e^2}{4\pi\epsilon_0} \left( \frac{1}{r_1} + \frac{1}{r_2} \right) + \frac{e^2}{4\pi\epsilon_0 |\vec{r}_1 - \vec{r}_2|}$$

(2)

The wavefunction is a function of both electron positions

$$\Psi = \Psi(\vec{r}_1, \vec{r}_2) = \Psi(r_1, \theta_1, \phi_1, r_2, \theta_2, \phi_2)$$

The last term in the Hamiltonian is for inter-electron repulsion, and it makes even this simplified form unsolvable without approximation.

The first method we'll explore is the variational method

### Variational method

Suppose we "forget" all we know about the Harmonic oscillator wavefunction. What would happen if we "guessed" a function:  $\phi(x)$

What energy would we measure?

$$\langle E_\phi \rangle = \int_{-\infty}^{\infty} \phi(x)^* \hat{H} \phi(x) dx = \langle \phi | \hat{H} | \phi \rangle$$

(But what if  $\phi$  wasn't normalized?)

$$\langle E_\phi \rangle = \frac{\int_{-\infty}^{\infty} \phi(x)^* \hat{H} \phi(x) dx}{\int_{-\infty}^{\infty} \phi(x)^* \phi(x) dx} = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

So what can we say about  $\langle E_\phi \rangle$ ? Let's think about how we might figure out a value.

$$\phi(x) = \sum_{n=0}^{\infty} C_n \psi_n(x) \quad \leftarrow \text{we're just writing down } \phi(x) \text{ in terms of the real eigenfunctions of the H.O. (which we've forgotten)}$$
$$|\phi\rangle = \sum_{n=0}^{\infty} C_n |n\rangle$$

Like wise

(3)

$$\phi(x)^* = \sum_{n=0}^{\infty} c_n^* \psi_n^*(x)$$

$$\langle \phi | = \sum_{n=0}^{\infty} c_n^* \langle n |$$

We can do the integrals after all:

$$\langle \phi | \phi \rangle = \left( \sum_{n=0}^{\infty} c_n^* \langle n | \right) \left( \sum_{n'=0}^{\infty} c_{n'} | n' \rangle \right)$$

$$= \sum_{n=0}^{\infty} \sum_{n'=0}^{\infty} c_n^* c_{n'} \underbrace{\langle n | n' \rangle}_{\int_{-\infty}^{\infty} \psi_n^*(x) \psi_{n'}(x) dx}$$

$\delta_{n,n'}$

$$= \sum_{n=0}^{\infty} \sum_{n'=0}^{\infty} c_n^* c_{n'} \underbrace{\delta_{n,n'}}_{\text{requires } n=n'}$$

$$= \sum_{n=0}^{\infty} c_n^* c_n = \sum_{n=0}^{\infty} |c_n|^2$$

What if we insert  $\hat{H}$ ?

$$\int_{-\infty}^{\infty} \phi^*(x) \hat{H} \phi(x) dx = \langle \phi | \hat{H} | \phi \rangle$$

$$= \left( \sum_{n=0}^{\infty} c_n^* \langle n | \right) \hat{H} \left( \sum_{n'=0}^{\infty} c_{n'} | n' \rangle \right)$$

$$= \sum_{n=0}^{\infty} \sum_{n'=0}^{\infty} c_n^* c_{n'} \underbrace{\langle n | \hat{H} | n' \rangle}_{\int_{-\infty}^{\infty} \psi_n^*(x) \hat{H} \psi_{n'}(x) dx}$$

$E_{n'} \delta_{n,n'}$

$$= \sum_{n=0}^{\infty} \sum_{n'=0}^{\infty} c_n^* c_{n'} E_{n'} \delta_{n,n'}$$

$\text{requires } n'=n$

$$= \sum_{n=0}^{\infty} |c_n|^2 E_n$$

OK, so:

$$\langle E_\phi \rangle = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} = \frac{\sum_{n=0}^{\infty} |c_n|^2 E_n}{\sum_{n=0}^{\infty} |c_n|^2}$$

What do we know about all the values of  $E_1, \dots, E_\infty$ ?

They are all  $\geq E_0$

$$\frac{\sum_{n=0}^{\infty} (|c_n|^2 E_n)}{\sum_{n=0}^{\infty} |c_n|^2} \geq \frac{\sum_{n=0}^{\infty} (|c_n|^2 E_0)}{\sum_{n=0}^{\infty} |c_n|^2} = \frac{\left( \sum_n |c_n|^2 \right) E_0}{\sum_n |c_n|^2}$$

$\therefore$

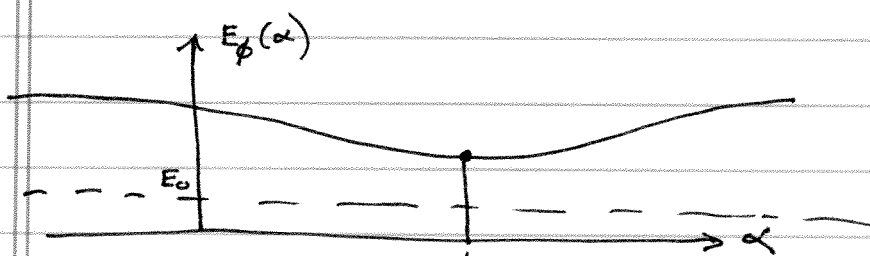
$$\boxed{\langle E_\phi \rangle \geq E_0}$$

← This is called the variational theorem

For any trial function  $|\phi\rangle$ ,  $E_\phi = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} \geq E_0$

How can we use this? We can make the trial function with a parameter

$\phi(x; \alpha)$   
 $\nwarrow$  function of  $x$   
 $\nearrow$  parametrized by  $\alpha$



best value of  $\alpha$  is lowest  $E_\phi$  & closest to ground state energy.

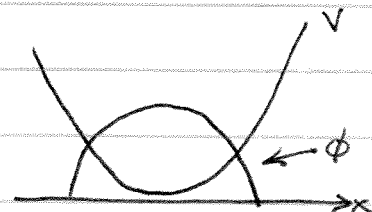
Example

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2} kx^2$$

$\psi_0 = ?$  ← we forgot!

$$\phi = \cos \lambda x \quad -\frac{\pi}{2\lambda} \leq x \leq \frac{\pi}{2\lambda}$$

$\nwarrow$  variational parameter



(5)

$$\begin{aligned}\langle \phi | \hat{H} | \phi \rangle &= \int_{-\pi/2\lambda}^{\pi/2\lambda} \cos(\lambda x) \left[ \frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{kx^2}{2} \right] \cos(\lambda x) dx \\ &= \frac{\pi \hbar^2 \lambda}{4m} + \left( \frac{\pi^3}{48} - \frac{\pi}{8} \right) \frac{k}{\lambda^3}\end{aligned}$$

$$\langle \phi | \phi \rangle = \int_{-\pi/2\lambda}^{\pi/2\lambda} \cos^2 \lambda x dx = \frac{\pi}{2\lambda}$$

$$\langle E_\phi \rangle = \frac{\hbar^2 \lambda^2}{2m} + \left( \frac{\pi^2}{24} - \frac{1}{4} \right) \frac{k}{\lambda^2}$$

Now what? How do we get the best  $\lambda$ ? The lowest  $E_\phi$ ? Take  $\frac{\partial E_\phi(\lambda)}{\partial \lambda} = 0$

$$\text{So: } \frac{\hbar^2 \lambda}{m} + \left( \frac{\pi^2}{24} - \frac{1}{4} \right) \left( \frac{-2k}{\lambda^3} \right) = 0$$

$$\lambda^2 = \sqrt{\frac{\pi^2}{24} - \frac{1}{4}} \sqrt{\frac{2km}{\hbar^2}} \quad \leftarrow \text{plug this back into } E_\phi$$

$$E_\phi = \left( \frac{\pi^2}{24} - \frac{1}{4} \right)^{1/2} \hbar \omega \sqrt{2}$$

$$\underline{E_\phi = 1.14 \frac{\hbar \omega}{2}} \quad \leftarrow \text{not bad!}$$

## More on the Variational Method

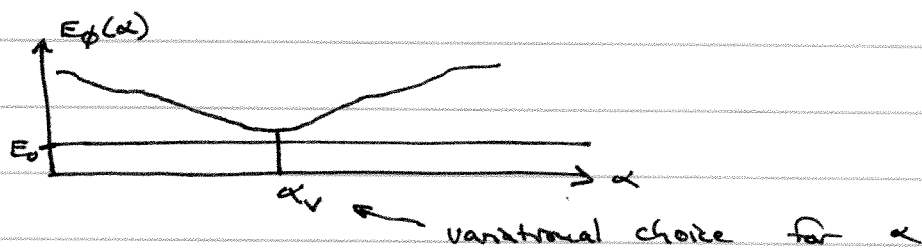
(6)

This is an approximation method for unsolved problems.

$\phi(\vec{r}; \alpha)$  = trial function of  $\psi$ , parametrized by  $\alpha$

$$E_\phi(\alpha) = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

Variational theorem:  $E_\phi \geq E_0$  ← true ground state energy



Here's a real-world example: H-atom.

(radial only since  $\psi_0^0 = \frac{1}{\sqrt{4\pi}}$ )

$$\hat{H} = \frac{-\hbar^2}{2m_e r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \right) - \frac{e^2}{4\pi\epsilon_0 r}$$

$$\phi(r; \alpha) = e^{-\alpha r^2}$$

What's the real one?

$$\psi_{100} = \frac{1}{\sqrt{\pi}} \left( \frac{1}{a_0} \right)^{3/2} e^{-r/a_0}$$

$$\frac{\partial}{\partial r} \phi = -2\alpha r e^{-\alpha r^2}$$

$$r^2 \frac{\partial}{\partial r} \phi = -2\alpha r^3 e^{-\alpha r^2}$$

$$\frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \phi \right) = (-6\alpha r^2 + 4\alpha^2 r^4) e^{-\alpha r^2}$$

$$\phi^* \hat{H} \phi = e^{-2\alpha r^2} \left( \frac{-m_e e^2 + 4\alpha \epsilon_0 \hbar^2 \pi r (3 - 2\alpha r^2)}{4\epsilon_0 m_e \pi r} \right)$$

(7)

$$E_\phi = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle} = \frac{4\pi \int_0^\infty r^2 \phi^* \hat{H} \phi dr}{4\pi \int_0^\infty r^2 \phi^* \phi dr}$$

$$= \frac{\frac{3\hbar^2 \pi^{3/2}}{4\sqrt{2} m_e \alpha^{1/2}} - \frac{e^2}{4\epsilon_0 \alpha}}{(\pi/2\alpha)^{3/2}}$$

$$E_\phi = \frac{3\hbar^2 \alpha}{2m_e} - \frac{e^2 \alpha^{1/2}}{\sqrt{2} \epsilon_0 \pi^{3/2}}$$

Solving for  $\alpha_v$ 

$$\frac{dE_\phi}{d\alpha} = \frac{3\hbar^2}{2m_e} - \frac{e^2}{(2\pi)^{3/2} \epsilon_0 \sqrt{\alpha}} = 0$$

$$\alpha = \frac{m_e^2 e^4}{16 \pi^3 \epsilon_0^2 \hbar^4}$$

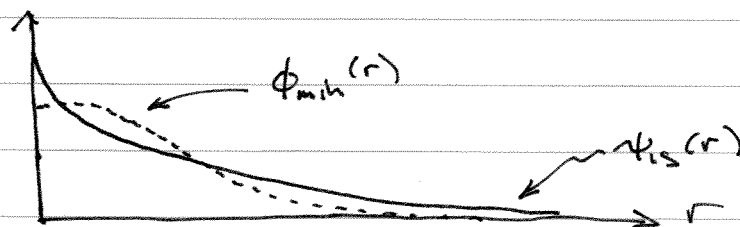
← plug this back into  $E_\phi$  to get  $E_{min}$ 

$$E_{min} = \frac{-4}{3\pi} \left( \frac{m_e e^4}{16 \pi^2 \epsilon_0^2 \hbar^2} \right) = -0.424 \quad ( )$$

$$E_0 = -\frac{1}{2} \left( \frac{m_e e^4}{16 \pi^2 \epsilon_0^2 \hbar^2} \right) = -0.5 \quad ( )$$

$$\phi(\vec{r}) = \frac{8}{3^{3/2} \pi} \left( \frac{1}{\pi a_0^3} \right)^{1/2} e^{-(8/9\pi) r^2/a_0^2}$$

Σ "Best" gaussian approximation to 1s



(8)

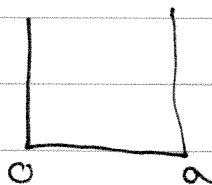
Can we do a better job? Throw more "stuff" into our trial function until it is perfect? Yes!

$$\phi(r) = \alpha e^{-ar^2} + \beta e^{-br^2} + \gamma e^{-cr^2} \quad a \neq b \neq c$$

This is a 3-Gaussian (triple-zeta) approximation to  $e^{-\alpha r}$ . The variational parameters are  $\alpha$ ,  $\beta$ , and  $\gamma$ .

$E_\phi(\alpha, \beta, \gamma)$ , so we need to find a good way to get  $E_\phi$  minimized with respect to all 3 variables.

Another example:



$$\psi_n = \sqrt{\frac{2}{a}} \sin \frac{n\pi x}{a}$$

$$\phi(x; c_1, c_2) = c_1 \underbrace{x(a-x)}_{\text{parabola}} + c_2 \underbrace{x^2(a-x)^2}_{\text{quartic}}$$



In general  $\phi = c_1 f_1 + c_2 f_2$   
 $\phi = \sum_i c_i f_i$  ← any functions we want!  
 $\uparrow$   
 variational parameters

What is  $E_\phi$ ?

$$\begin{aligned} \langle \phi | \hat{H} | \phi \rangle &= \int (c_1 f_1 + c_2 f_2) \hat{H} (c_1 f_1 + c_2 f_2) \\ &= c_1^2 \int f_1 \hat{H} f_1 + c_1 c_2 \int f_1 \hat{H} f_2 + c_2 c_1 \int f_2 \hat{H} f_1 + c_2^2 \int f_2 \hat{H} f_2 \end{aligned}$$

(9)

$$\langle \phi | \hat{H} | \phi \rangle = c_1^2 \langle f_1 | \hat{H} | f_1 \rangle + c_1 c_2 \langle f_1 | \hat{H} | f_2 \rangle + c_2 c_1 \langle f_2 | \hat{H} | f_1 \rangle + c_2^2 \langle f_2 | \hat{H} | f_2 \rangle$$

$$= c_1^2 H_{11} + c_1 c_2 H_{12} + c_2 c_1 H_{21} + c_2^2 H_{22}$$

$$H_{ij} = \int f_i \hat{H} f_j d\vec{r}$$

Since  $\hat{H}$  is hermitian,  $H_{ij} = \int f_i \hat{H} f_j = \int (H f_i)^* f_j = \int f_j \hat{H} f_i = H_{ji}$

Like wise  $\langle \phi | \phi \rangle = \int (c_1 f_1 + c_2 f_2) (c_1 f_1^* + c_2 f_2^*)$   
 $= c_1^2 \langle f_1 | f_1 \rangle + c_1 c_2 \langle f_1 | f_2 \rangle + c_2 c_1 \langle f_2 | f_1 \rangle + c_2^2 \langle f_2 | f_2 \rangle$

$$= c_1^2 S_{11} + c_1 c_2 S_{12} + c_2 c_1 S_{21} + c_2^2 S_{22}$$

$$S_{ij} = \int f_i f_j d\vec{r} = S_{ji}$$

$$E_\phi(c_1, c_2) = \frac{c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22}}{c_1^2 + 2c_1 c_2 S_{12} + c_2^2 S_{22}}$$

We can rearrange this:

$$(c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}) E_\phi = c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22}$$

Take derivatives of both sides with respect to  $c_1$

$$(2c_1 S_{11} + 2c_2 S_{12}) E_\phi + (c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}) \frac{\partial E_\phi}{\partial c_1} = 2c_1 H_{11} + 2c_2 H_{12}$$

When  $\frac{\partial E}{\partial c_1} = 0$ , we are at the  $E_{\min}$ , so:

$$(2c_1 S_{11} + 2c_2 S_{12})E = 2c_1 H_{11} + 2c_2 H_{12}$$

$$c_1(H_{11} - ES_{11}) + c_2(H_{12} - ES_{12}) = 0$$

If we do the same for  $\frac{\partial}{\partial c_2}$  and set  $\frac{\partial E}{\partial c_2} = 0$

$$c_1(H_{12} - ES_{12}) + c_2(H_{22} - ES_{22}) = 0$$

We can combine these into a determinant equation: to solve for  $E$ :

$$\begin{array}{l} \frac{\partial E}{\partial c_1} = 0 \Rightarrow \\ \frac{\partial E}{\partial c_2} = 0 \Rightarrow \end{array} \begin{array}{cc} c_1 & c_2 \\ \left| \begin{array}{cc} (H_{11} - ES_{11}) & (H_{12} - ES_{12}) \\ (H_{12} - ES_{12}) & (H_{22} - ES_{22}) \end{array} \right| & = 0 \end{array}$$

↗ "secular" determinant

$$(H_{11} - ES_{11})(H_{22} - ES_{22}) - (H_{12} - ES_{12})^2 = 0$$

↗ quadratic "secular" equation for  $E$

## Variational Method using the Kitchen Sink

(11)

The basis:  $E_\phi(\alpha) = \frac{\int \phi^* \hat{H} \phi}{\int \phi^* \phi}$

$\phi(\vec{r}; \alpha)$  is a trial function of  $\vec{r}$ , parameterized by  $\alpha$

We proved:  $E_\phi(\alpha) \geq E_0 \leftarrow$  the true ground state

$$\frac{\partial E_\phi}{\partial \alpha} = 0 \leftarrow \text{finds extrema (minima \& maxima)}$$

Making better trial functions:

functional rep  
 $\phi = c_1 f_1 + c_2 f_2$

Dirac representation  
 $|\phi\rangle = c_1 |f_1\rangle + c_2 |f_2\rangle$

Matrix - Vector rep  
 $\vec{\phi} = \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \leftarrow \begin{array}{l} \text{"}f_1\text{" space} \\ \text{"}f_2\text{" space} \end{array}$

$$H_{ij} = \int f_i^* \hat{H} f_j d\vec{r}$$

$$H_{ij} = \langle f_i | \hat{H} | f_j \rangle$$

$$\underline{H} = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix}$$

$$S_{ij} = \int f_i^* f_j d\vec{r}$$

$$S_{ij} = \langle f_i | f_j \rangle$$

$$\underline{S} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix}$$

$$E_\phi = \frac{\int \phi^* \hat{H} \phi d\vec{r}}{\int \phi^* \phi d\vec{r}}$$

$$= \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

$$= \frac{\vec{\phi}^T \cdot \underline{H} \cdot \vec{\phi}}{\vec{\phi}^T \cdot \underline{S} \cdot \vec{\phi}}$$

"Schrodinger"

"Dirac"

"Heisenberg"

Last time:

$$E_\phi = \frac{\int (c_1 f_1^* + c_2 f_2^*) \hat{H} (c_1 f_1 + c_2 f_2) d\vec{r}}{\int (c_1 f_1^* + c_2 f_2^*) (c_1 f_1 + c_2 f_2) d\vec{r}}$$

$$= \frac{c_1^2 \int f_1^* \hat{H} f_1 + c_1 c_2 \int f_1^* \hat{H} f_2 + c_2 c_1 \int f_2^* \hat{H} f_1 + c_2^2 \int f_2^* \hat{H} f_2}{c_1^2 \int f_1^* f_1 + c_1 c_2 \int f_1^* f_2 + c_2 c_1 \int f_2^* f_1 + c_2^2 \int f_2^* f_2}$$

$$E_{\phi} = \frac{c_1^2 H_{11} + c_1 c_2 H_{12} + c_2 c_1 H_{21} + c_2^2 H_{22}}{c_1^2 S_{11} + c_1 c_2 S_{12} + c_2 c_1 S_{21} + c_2^2 S_{22}}$$

We'll use some symmetry

$$H_{12} = H_{21}$$

$$S_{12} = S_{21}$$

$$E_{\phi}(c_1, c_2) = \frac{c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22}}{c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}}$$

We want both  $\frac{\partial E}{\partial c_1} = 0$  and  $\frac{\partial E}{\partial c_2} = 0$

≠

Here's how we do it:

$$c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22} = E_{\phi} (c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22})$$

↳ Take derivative of both sides w.r.t.  $c_1$

$$2c_1 H_{11} + 2c_2 H_{12} = \frac{\partial E_{\phi}}{\partial c_1} (c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}) + E_{\phi} (2c_1 S_{11} + 2c_2 S_{12})$$

↳ This is = 0 at minimum, so:

$$\cancel{c_1^2 H_{11}} \quad 2c_1 H_{11} + 2c_2 H_{12} = E_{\phi} (2c_1 S_{11} + 2c_2 S_{12})$$

$$c_1 (H_{11} - E_{\phi} S_{11}) + c_2 (H_{12} - E_{\phi} S_{12}) = 0$$

Like wise for  $c_2$ :  $c_1 (H_{12} - E_{\phi} S_{12}) + c_2 (H_{22} - E_{\phi} S_{22}) = 0$

↳ system of linear equations (2 eqns, 2 unknowns)

$$\begin{vmatrix} H_{11} - E_{\phi} S_{11} & H_{12} - E_{\phi} S_{12} \\ H_{12} - E_{\phi} S_{12} & H_{22} - E_{\phi} S_{22} \end{vmatrix} = 0$$

(13)

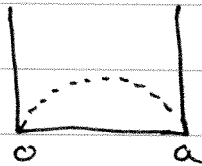
$$\begin{vmatrix} a & b \\ c & d \end{vmatrix} = 0$$

$$ad - bc = 0 \quad \leftarrow \text{Determinant of a } 2 \times 2$$

$$(H_{11} - ES_{11})(H_{22} - ES_{22}) - (H_{12} - ES_{12})^2 = 0$$

$\leftarrow$  solve to get  $E$ !

Example:



$$\psi_0 = \sqrt{\frac{2}{a}} \sin \frac{\pi x}{a} \quad E_0 = ?$$

$$\phi = c_1 f_1 + c_2 f_2$$

$$f_1 = x(a-x) \quad f_2 = x^2(a-x)^2$$

$$H_{11} = \int_0^a x(a-x) \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} (x(a-x)) dx = \frac{-\hbar^2}{2m} \int_0^a x(a-x) \frac{d^2}{dx^2} (ax - x^2) dx$$

$$= \frac{-\hbar^2}{2m} \int_0^a x(a-x) \frac{d}{dx} (a - 2x) dx = \frac{-\hbar^2}{2m} \int_0^a x(a-x)(-2) dx$$

$$= \frac{\hbar^2}{m} \int_0^a ax - x^2 dx = \frac{\hbar^2}{m} \left[ \frac{ax^2}{2} - \frac{x^3}{3} \right]_0^a$$

$$= \frac{\hbar^2}{m} \left[ \frac{a^3}{2} - \frac{a^3}{3} \right] = \frac{a^3 \hbar^2}{6m}$$

$$H_{22} = \frac{a^3 \hbar^2}{105m}$$

$$H_{12} = H_{21} = \frac{a^3 \hbar^2}{30m}$$

$$\begin{aligned}
 S_{11} &= \int_0^a x(a-x)x(a-x) = \int_0^a (xa-x^2)(xa-x^2) dx \\
 &= \int_0^a x^2 a^2 - 2x^3 a + x^4 dx \\
 &= \left[ \frac{x^3 a^2}{3} - \frac{2x^4 a}{4} + \frac{x^5}{5} \right]_0^a \\
 &= \frac{a^5}{3} - \frac{2a^5}{4} + \frac{a^5}{5} \\
 &= \frac{a^5}{30}
 \end{aligned}$$

$$S_{22} = \frac{a^5}{630}$$

$$S_{12} = S_{21} = \frac{a^5}{140}$$

Putting it all together:

$$\begin{vmatrix} \frac{a^3 h^2}{6m} - E \frac{a^5}{30} & \frac{a^3 h^2}{30m} - E \frac{a^5}{140} \\ \frac{a^3 h^2}{30m} - E \frac{a^5}{140} & \frac{a^3 h^2}{105} - E \frac{a^5}{630} \end{vmatrix} = 0$$

$$\text{Let } E' = E \frac{a^2 m}{h^2} \Rightarrow E = \frac{E' h^2}{a^2 m}$$

$$\begin{vmatrix} \frac{a^3 h^2}{6m} - \frac{E' h^2 a^3}{30m} & \frac{a^3 h^2}{30m} - \frac{E' h^2 a^3}{140m} \\ \frac{a^3 h^2}{30m} - \frac{E' h^2 a^3}{140m} & \frac{a^3 h^2}{105} - \frac{E' h^2 a^3}{630m} \end{vmatrix} = 0$$

$$\frac{a^3 h^2}{m} \begin{vmatrix} \frac{1}{6} - \frac{E'}{30} & \frac{1}{30} - \frac{E'}{140} \\ \frac{1}{30} - \frac{E'}{140} & \frac{1}{105} - \frac{E'}{630} \end{vmatrix} = 0$$

(15)

$$\left(\frac{1}{6} - \frac{E'}{30}\right)\left(\frac{1}{105} - \frac{E'}{630}\right) - \left(\frac{1}{30} - \frac{E'}{140}\right)^2 = 0$$

$$(E')^2 - 56E' + 252 = 0$$

$$E' = \frac{56 \pm \sqrt{2128}}{2}$$

$$E' = 51.065 \quad \text{and} \quad 4.93487$$

$$E_{\min} = 4.9347 \frac{\hbar^2}{a^2 m} = 0.125002 \frac{\hbar^2}{a^2 m}$$

$$E_0 = \frac{\hbar^2 n^2}{8ma^2} = \frac{1}{2} \frac{\hbar^2}{ma^2} = 0.125 \frac{\hbar^2}{a^2 m^2}$$

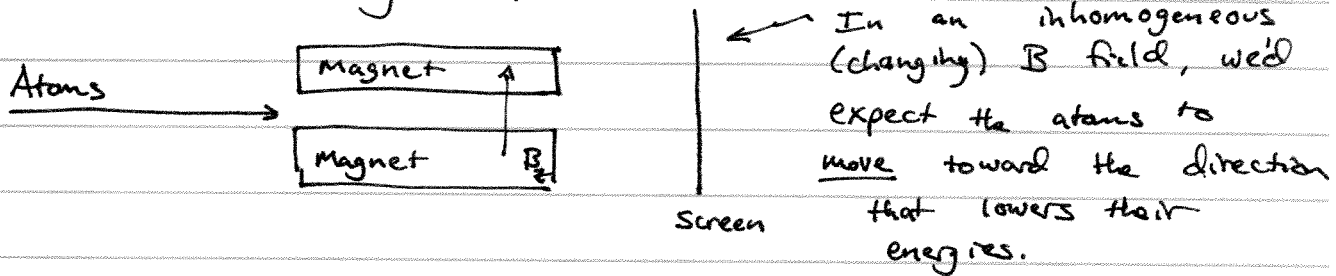
Spin

①

In the last 2 problems of the previous problem set, you showed that a magnetic field can have an effect on the energies and the size of that effect depends on the m quantum number:

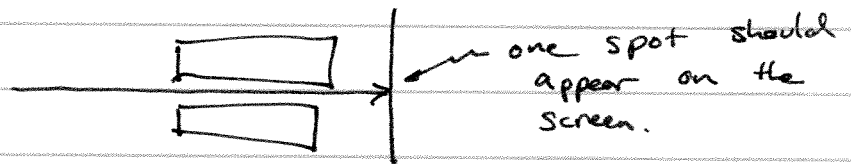
$$E = \frac{-me^4}{8\epsilon_0 h^2 n^2} + \beta_B m B_z \quad \begin{matrix} n=1,2,3,\dots \\ m=0,\pm 1,\pm 2,\dots \end{matrix}$$

Here's an interesting experiment



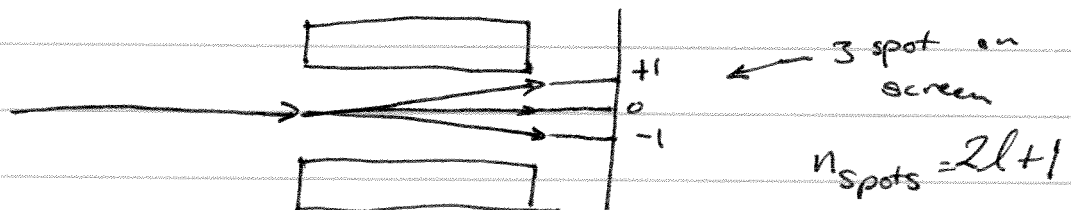
If  $l=0$  (i.e. s orbitals) what would we expect to see?

If  $l=0$ ,  $m=0$  also, so the field should do nothing!



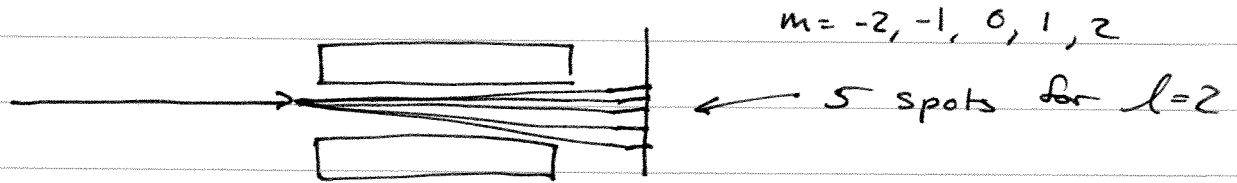
If  $l=1$  (i.e. p orbitals occupied) what would we expect to see?

If  $l=1$ ,  $m = -1, 0, +1$



(2)

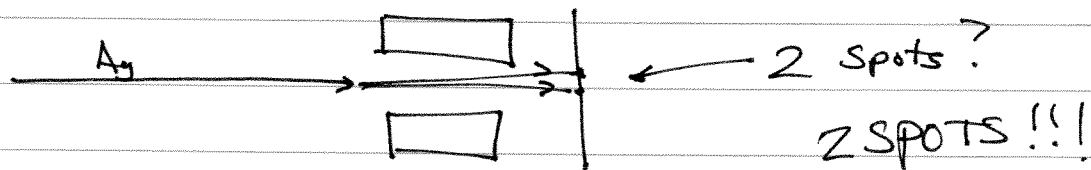
If  $l=2$  (i.e. d orbitals occupied)



That is  $n_{\text{spots}} = 2l+1$  or  $l = \frac{n_{\text{spots}} - 1}{2}$

A very famous experiment called the Stern-Gerlach experiment was trying to resolve the half-filled d-orbital rule e.g.  ~~$5s^2 4d^9$~~   $5s^1 4d^{10}$  is the last electron in an s orbital (1 spot) or d orbital (5 spots)? What would you expect to see? How many spots?

Here's what they did see:



So what is  $l$ ?

$$l = \frac{n_{\text{spots}} - 1}{2} = \frac{1}{2}$$

But  $l$  is supposed to be an integer?

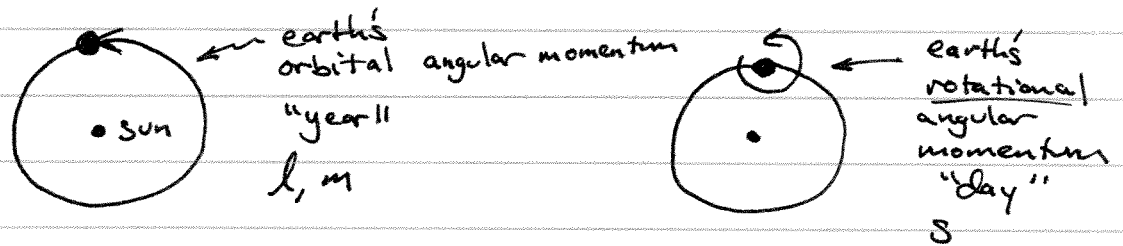
What Stern & Gerlach discovered is the "spin" of the electrons.

(Actually it had to wait until Goudsmit & Uhlenbeck 3 years later) to identify spin as angular momentum.

⑤

Actually, Stern & Gerlach discovered a fundamental property of matter. Spin is an inherent property of particles just like charge and mass. What we see from the Stern-Gerlach experiment is that spin behaves just like an angular momentum.

An analogy (breaks down a bit if you ask too many questions)



Some particles have integer spin, these are Bosons  
Some particles have half-integer spin, these are Fermions

Electrons are Fermions with  $S = \frac{1}{2}$

$\uparrow$  Big  $S$  = property of particle  
"total" spin angular momentum

Quantum Numbers  $S = -S, -S+1, \dots, S-1, S$

$\uparrow$  small  $s$

For particles with  $S = \frac{1}{2}$

$S = -\frac{1}{2}, +\frac{1}{2}$  ← spin "states"

So our description of the wave function is not quite complete.

(4)

$$\Psi(x, y, z, \sigma) = \underbrace{\psi(x, y, z)}_{\text{spatial wavefunction}} \underbrace{\alpha(\sigma)}_{\substack{\text{spin "up" or } +\frac{1}{2} \\ \text{coordinate wavefunction}}}$$

spatial coordinates

or

$$\Psi(x, y, z, \sigma) = \psi(x, y, z) \beta(\sigma)$$

spin "down" or  $-\frac{1}{2}$  wavefunction

$$\Psi_{nlms} = \begin{cases} R_{nl}(r) Y_l^m(\theta, \phi) \alpha(\sigma) & \text{if } s = +\frac{1}{2} \\ R_{nl}(r) Y_l^m(\theta, \phi) \beta(\sigma) & \text{if } s = -\frac{1}{2} \end{cases}$$

The spin functions are orthonormal:

$$\int \alpha^*(\sigma) \alpha(\sigma) d\sigma = 1$$

$$\int \beta^*(\sigma) \beta(\sigma) d\sigma = 1$$

$$\int \alpha^*(\sigma) \beta(\sigma) d\sigma = \int \beta^*(\sigma) \alpha(\sigma) d\sigma = 0$$

So the <sup>total</sup> wavefunction also remains orthonormal:

$$\int \Psi_{100\frac{1}{2}}^*(\vec{r}, \sigma) \Psi_{100\frac{1}{2}}(\vec{r}, \sigma) d\vec{r} d\sigma$$

$$= \int_0^\infty r^2 R_{10}^*(r) R_{10}(r) dr \int_0^\pi \sin\theta \int_0^{2\pi} Y_l^m(\theta, \phi)^* Y_l^m(\theta, \phi) d\theta d\phi$$

$$* \int \alpha(\sigma)^* \alpha(\sigma) d\sigma$$

$$= 1$$

Why does sph matter?

Pauli Exclusion principle:

No fermions can have the same set of quantum numbers. (in the same atom).

This is actually a simplification of a more general rule:

The wave function of a system of fermions must be antisymmetric with respect to interchange of any two identical fermions

That is, for two electron systems:

$$\psi(x_1, x_2) = -\psi(x_2, x_1)$$

This property comes from relativistic quantum field theory, and we're not going to tackle that in this class.

Think on this:

$$\psi(\vec{r}_1, \sigma_1, \vec{r}_2, \sigma_2) = \underbrace{1s(\vec{r}_1)}_{\substack{\uparrow \\ \text{electron 1} \\ \text{in } 1s}} \underbrace{\alpha(\sigma_1)}_{\substack{\uparrow \\ \text{and } \uparrow}} \underbrace{1s(\vec{r}_2)}_{\substack{\uparrow \\ \text{electron 2} \\ \text{in } 1s}} \underbrace{\beta(\sigma_2)}_{\substack{\downarrow \\ \text{and } \downarrow}}$$

If we swap, we don't get an antisymmetric wave function. What's wrong with this?

$$\psi(\vec{r}_2, \sigma_2, \vec{r}_1, \sigma_1) = 1s(\vec{r}_2) \alpha(\sigma_2) 1s(\vec{r}_1) \beta(\sigma_1)$$